

1 Pulmonary inflammation induced by low dose particulate matter exposure in mice  
2 Yik Lung Chan\*<sup>#1,2</sup>, Baoming Wang\*<sup>1,2</sup>, Hui Chen<sup>1</sup>, Kin Fai Ho<sup>3</sup>, Junji Cao<sup>4</sup>, Guo Hai<sup>5</sup>, Bin  
3 Jalaludin<sup>6</sup>, Cristan Herbert<sup>7</sup>, Paul S. Thomas<sup>7</sup>, Sonia Saad<sup>8</sup>, Brian Gregory George Oliver<sup>1,2</sup>

4

5 \* equal contribution

6 # Corresponding Author

7 Yik Lung Chan, PhD

8 University of Technology Sydney

9 15 Broadway, Ultimo NSW 2007, Australia

10 +61 404 688 029

11 [Yik.chan@uts.edu.au](mailto:Yik.chan@uts.edu.au)

12

13 1 School of Life Sciences, University of Technology Sydney, Sydney, NSW, 2007, Australia

14 2. Respiratory Cellular and Molecular Biology, Woolcock Institute of Medical Research,  
15 Sydney, NSW, 2037, Australia

16 3. JC School of Public Health and Primary, The Chinese University of Hong Kong, Hong  
17 Kong SAR.

18 4. Key Laboratory of Aerosol Chemistry and Physics, Institute of Earth Environment,  
19 Chinese Academy of Sciences, Xi'an, 710075, China

20 5. Air Quality Studies, Department of Civil and Environmental Engineering, Hong Kong  
21 Polytechnic University, Hong Kong, China

22 6. Ingham Institute for Applied Medical Research, University of New South Wales, Sydney,  
23 NSW, 2052, Australia

24 7. Department of Pathology, School of Medical Sciences, and Prince of Wales' Clinical  
25 School, Faculty of Medicine, University of New South Wales, Sydney, NSW, 2052, Australia

26 8. Renal Group Kolling Institute, Royal North Shore Hospital, St Leonards, NSW, Australia

27

28 Running title: Low dose PM causes inflammation and affects mitochondria

29

30

31 **Abstract**

32 Air pollution is a ubiquitous problem and comprises gaseous and particulate matter (PM).  
33 Epidemiological studies have clearly shown that exposure to PM is associated with impaired  
34 lung function and the development of lung diseases such as chronic obstructive pulmonary  
35 disease and asthma. To understand the mechanisms involved, animal models are often used.  
36 However, the majority of such models represent high levels of exposure and are not  
37 representative of the exposure levels in less polluted countries, such as Australia. Therefore,  
38 in this study we aimed to determine whether low dose PM<sub>10</sub> exposure has any detrimental  
39 effect on the lungs. Mice were intranasally exposed to saline or traffic-related PM<sub>10</sub> (1µg or  
40 5µg per day) for three weeks. Bronchoalveolar lavage (BAL) and lung tissue were analysed.  
41 PM<sub>10</sub> at 1µg did not significantly affect inflammatory and mitochondrial markers. At 5µg,  
42 PM<sub>10</sub> exposure increased lymphocytes and macrophages in BAL fluid. Increased NACHT,  
43 LRR and PYD domains-containing protein 3 (NLRP3) and IL-1β production occurred  
44 following PM<sub>10</sub> exposure. PM<sub>10</sub> (5µg) exposure reduced mitochondrial antioxidant manganese  
45 superoxide (antioxidant defence system) and mitochondrial fusion marker (OPA-1) whilst  
46 increased fission marker (Drp-1). Autophagy marker Light chain 3 microtubule-associated  
47 protein (LC3)-II and phosphorylated-AMPK were reduced, and apoptosis marker (Caspase-3)  
48 was increased. No significant change of remodelling markers was observed. In conclusion, a  
49 sub-chronic low level exposure to PM can have an adverse effect on lung health, which  
50 should be taken into consideration for the planning of roads and residential buildings.

51

52

53 **Introduction**

54 The World Health Organisation (WHO) air quality model demonstrates that ambient air  
55 pollution annually causes 4.2 million deaths, and 91% of the world's population lives in  
56 places where air quality exceeds the limits of WHO guidelines. Air pollution causes 1.8  
57 million deaths from lung diseases (1). Forty three percent of chronic obstructive pulmonary  
58 diseases (COPD) and 29% of lung cancer deaths are attributable to air pollution (2). PM is  
59 the sum of all particles suspended in the air which includes both organic and inorganic  
60 particles such as dust, pollens, and vehicle emissions. Respirable PM is thought to be the  
61 most detrimental to human health. PM sized equal or below 10 microns ( $PM_{10}$ ) is capable of  
62 entering the lungs, whilst PM sized equal or below 2.5 microns ( $PM_{2.5}$ ) can reach the distal  
63 lung segments including alveoli (17).

64

65 In adults, every  $5 \mu\text{g}/\text{m}^3$  increment of PM exposure is associated with a 39% to 56%  
66 increased risk of developing COPD (13). In developed countries such as the UK, traffic  
67 related air pollution (TRAP) accounts for 13% of total PM (4). In Sydney Australia, the  
68 levels of TRAP are amongst the lowest in the world, accounting for 14% of total PM (5),  
69 which often assumed to be safe. However, a study on 65,000 children in Canada found that  
70 children exposed to TRAP, even in urban areas with low levels of pollution, had a 25%  
71 increased risk of developing asthma by the age of 5 years.

72

73 PM is a strong oxidant, with its oxidant capacity regulated by antioxidants such as manganese  
74 superoxide dismutase (16). However, in humans, even short-term exposure of  $PM_{10}$  increased  
75 circulating levels of Interleukins (IL)- $1\beta$ , IL-6 and TNF- $\alpha$  (28).  $PM_{10}$  contains approximately  
76  $10^{16}$  free radicals/g which can increase oxidative stress in human macrophages and lung  
77 epithelial cells (8, 29). ROS can induce inflammatory responses via the activation of the

78 nucleotide-binding domain and leucine-rich repeat protein (NLRP)3 inflammasome, which  
79 in-turn cleaves pro-interleukin (IL)-1 $\beta$  into IL-1 $\beta$ . Interestingly, Hirota et al have shown that  
80 PM activates the NLRP3 inflammasome resulting in increased IL-1 $\beta$  in bronchial epithelial  
81 cells (14).

82

83 Mitochondria can be damaged by both oxidative stress and the activation of NLRP3  
84 inflammasome, resulting in reduced capacity to produce ATP. Mitophagy is a quality control  
85 process where fission removes damaged mitochondria fragments and fusion merges healthy  
86 mitochondrial fragments to regenerate new mitochondria (7), which has been shown to  
87 ameliorate inflammatory disorders (23). The impact in low level PM exposure on mitophagy  
88 markers has not been reported.

89 TRAP contains both gaseous and PM components. While the gaseous components are  
90 equally toxic as PM, gases dissipate quicker in air than the PMs which can remain airborne  
91 for long periods of time. However, most PM / TRAP exposure models used very high PM  
92 exposure regimens (e.g. 50 to 200  $\mu$ g (11, 21)), which are not relevant to the PM/TRAP  
93 levels in countries with low levels of air pollution. We hypothesized that exposure to low  
94 levels of PM would be detrimental for lung health. Our objective was to establish an  
95 environmentally relevant model of TRAP-related PM exposure and to characterise  
96 pulmonary changes including inflammasome activation (NLRP 3 and IL-1 $\beta$ ), IL-6 production,  
97 mitochondrial fission and fusion markers (Optic atrophy (Opa)-1 and dynamin-related protein  
98 (Drp)-1), autophagy markers and fibrotic markers (fibronectin, collagen III and transforming  
99 growth factor beta 1 (TGF $\beta$ 1)).

100

## 101 **Materials and Methods**

### 102 *PM collection*

103 Twenty-four-hour integrated PM<sub>10</sub> were collected through a 47-mm Teflon (Pall Life  
104 Sciences, Ann Arbor, MI) and pre-fired (800 °C, 3 hr) 47-mm quartz-fibre filters (Whatman  
105 Inc., Clifton, NJ) from a busy roadside in Hong Kong (114,000 vehicles per day) with URG  
106 PM samplers (URG-2000-30EH) in the summer (24th June to 11th July, 2017) with a flow  
107 rate of 8 L/min at each channel. Filter preparation (e.g. equilibrated for 24 hr at 25 °C and  
108 relative humidity of 40% before and after sampling) and gravimetric analysis were conducted  
109 in a high-efficiency particulate absorption clean room (ISO 14644 Class 7) at The Hong  
110 Kong Polytechnic University. All filters were stored at -20 °C and in dark prior to the  
111 analysis. PM was extracted in 90% ethanol with 5 minutes of sonication, followed by freeze  
112 drying overnight.

113

#### 114 *PM analysis*

115 Energy-dispersive x-ray fluorescence spectrometry (PANalytical Epsilon 5) was used to  
116 determine concentrations of Al, Si, Ca, Ti, V, Cr, Mn, Fe, Co, Ni, Cu, Zn, Ba and Pb. Each  
117 sample was analysed for 30 min. Thin-film standards were used for calibration (MicroMatter,  
118 Arlington, USA) (34). All reported chemical concentrations were corrected for field blanks,  
119 and duplicated samples were analyzed for quality assurance.

120

121 Ion chromatography (IC) for water-soluble inorganic ions analysis. One quarter of the filter  
122 was extracted with 10 mL of distilled deionized water and the extract underwent IC (Dionex  
123 DX-600) analysis (IonPac CS12A and AS14A columns) Six species were analysed as  
124 previously described (36). Analysis of organic carbon and elemental carbon were by thermal  
125 optical reflectance (TOR) technique on a thermal/optical carbon analyser (DRI Model 2001,  
126 Atmoslytic Inc., Calabasas, CA as described in Pathak et al (22).

127

128 *In vivo PM exposure.*

129 Animal experiments were approved by the Animal Care and Ethics committee at the  
130 University of Technology Sydney (ACEC#ETH16-0886). Male Balb/c mice (6 weeks,  
131 Animal Resources Centre, Perth, Australia) were housed at  $20 \pm 2$  °C and maintained on a  
132 12-h light, 12-h dark cycle (lights on at 06:00 h) with *ad libitum* access to standard laboratory  
133 chow and water. After the acclimatisation period, mice were assigned to 3 groups (n =10)  
134 which were exposed to either particulate matter with 1µg (PM<sub>10</sub>(1µg)) or 5µg (PM<sub>10</sub>(5µg)) or  
135 saline as control (SHAM). In urban Sydney, the average PM<sub>10</sub> levels are 17 µg/m<sup>3</sup>, equating  
136 to a daily human exposure of 181µg (3). Based on the breathing volumes, mice should be  
137 exposed to around 5µg/day to reflect air pollution levels in Sydney. Mice were exposed  
138 intranasally by instillation of 40µl of saline or saline resuspended PM<sub>10</sub> daily for three weeks.

139

140 At the endpoint, the animals were sacrificed via cardiac puncture after deep anaesthesia (3%  
141 isoflurane). Lungs were perfused with phosphate buffered saline to obtain bronchoalveolar  
142 lavage (BAL) fluid. Lungs were then harvested, snap frozen and stored at -80°C for protein  
143 analysis. Anthropometry measurements were done following dissection and measurement on  
144 a microbalance.

145

146 BAL analysis.

147 The BAL cells evaluated by Diff-Quik staining (Polyscience Inc, Taipei, Taiwan).  
148 Differential cell counts were performed for macrophages, lymphocytes, eosinophils and  
149 neutrophils.

150

151 Western blotting.

152 Lung tissue homogenates (20µg) were analysed using standard techniques, as described  
153 previously (9). Antibodies were purchased from Cell Signaling Technology, USA: IL-1β and  
154 IL-6 (1:1000); Caspase-3, p-Akt, Akt, p- AMP-activated protein kinase (AMPK), AMPK,  
155 light chain 3 microtubule-associated protein (LC)3A/B-I/II (1:2000); from Novus  
156 Biotechnology, USA: Drp-1, Opa-1 (1:2000) and Collagen-III (1:1000); from Millipore,  
157 USA: MnSOD (1:2000,); from Sigma-Aldrich, USA Fibronectin (1:2000); and R&D systems,  
158 USA: TGF-β1 (1:500).

159

160 Mitochondrial DNA copy number.

161 mtDNA was measured using qPCR on DNA as we have previously published (25, 26).

162

163 *Statistical methods.*

164 The data conformed to the normal distribution and differences between groups were analysed  
165 using one-way ANOVA followed by a Bonferroni post-hoc tests. P<0.05 was considered  
166 significant.

167

## 168 **Results**

### 169 PM characterisation

170 The main components of the PM were organic carbons. Sulphate, elemental carbon, chloride  
171 and nitrate were the other components in abundance in the PM sample. Traces of other  
172 substances such as titanium, manganese, lead, chromium and nickel were also detected, see  
173 Table 1.

174

### 175 Anthropometry markers

176 Weight gain was used as a generic indicator of health status. As shown in Table 2, body  
177 weight was not affected by PM exposure (Table 2). However, PM<sub>10</sub> (5 $\mu$ g)-exposed animals  
178 had significantly more retroperitoneal fat mass compared to the SHAM group (p<0.05).  
179 There were no significant changes in liver or muscle weights.

180

#### 181 Bronchoalveolar (BAL) cell count

182 PM<sub>10</sub> (5 $\mu$ g) exposure increased leukocyte counts in BAL fluid (P<0.01, PM<sub>10</sub> (5 $\mu$ g) vs  
183 SHAM, Figure 1A), as well as lymphocytes and macrophages (both P<0.01 vs SHAM,  
184 Figure 1A, B). There were no neutrophils or eosinophils observed.

185

#### 186 Lung Inflammation

187 NLRP 3 and IL-1 $\beta$  were increased in the PM<sub>10</sub> (5 $\mu$ g) group compared to the SHAM group  
188 (P<0.05, Figure 1D/E), but not IL-6 (Figure 1F).

189

#### 190 Markers of matrix remodelling

191 Protein levels of fibronectin, TGF- $\beta$ 1 and collagen-III were not changed in any of the PM  
192 groups compared to the SHAM group (Figure 1G-I).

193

#### 194 Mitochondrial antioxidant, mitophagy markers and mitochondrial DNA copy number

195 PM<sub>10</sub> (5 $\mu$ g) exposure significantly increased mitochondrial fission protein Drp-1 (P<0.05,  
196 PM<sub>10</sub> (5 $\mu$ g) vs SHAM, Figure 2A) and reduced mitochondrial fusion protein OPA-1 and the  
197 antioxidant MnSOD levels (both P<0.05, PM<sub>10</sub> (5 $\mu$ g) vs SHAM, Figure 2B/C). Mitochondrial  
198 DNA copy number was not changed between SHAM and PM<sub>10</sub> (5 $\mu$ g) (Figure 2D).

199

#### 200 Autophagy and apoptosis

201 Autophagy marker LC3A/B-II, LC3A/B-II to I ratio were reduced in PM<sub>10</sub> (5μg) compared to  
202 SHAM (P<0.05, Figure 2E/F). Apoptotic marker Caspase-3 was increased in the PM<sub>10</sub> (5μg)  
203 group compared to the SHAM group (P<0.05, Figure 2G). The upstream marker of  
204 autophagy, p-AMPK and p-AMPK to AMPK ratio were reduced by the exposure to PM<sub>10</sub>  
205 (5μg) compared to the SHAM exposure (P<0.05 vs SHAM, Figure 2K/M). Akt and AMPK  
206 protein levels were increased in the PM<sub>10</sub> (5μg) group compared to the SHAM group (P<0.05  
207 vs SHAM, Figure 2I/L), but there were no changes in p-Akt protein levels and p-Akt to Akt  
208 ratio by PM<sub>10</sub> exposure (Figure 2I/J).

209

## 210 **Discussion**

211 We found that the exposure to low levels of traffic related PM<sub>10</sub> induced marked pulmonary  
212 activation of NLRP3 inflammasome, and inflammation, as well as reduced mitochondrial  
213 antioxidants, and impaired mitophagy capacity.

214

215 PM<sub>10</sub> exposure for three weeks did not affect the overall wellbeing of the mice reflected by  
216 body weight, suggesting low toxicity. However, fat mass was increased following the  
217 exposure to 5μg of PM<sub>10</sub>, consistent with other human and mouse studies (27, 31).

218

219 We found increased lymphocytes and macrophages, which has also been observed with high  
220 dose PM exposure (8). However, PM<sub>10</sub> (5μg) did not induce eosinophilic or neutrophilic  
221 inflammation. Increased IL-1β was accompanied by NLRP3 inflammasome activation as  
222 expected. Zheng et al (37) also found that 3 weeks exposure to 50μg of PM<sub>2.5</sub> daily increased  
223 IL-1β and TGF-β1 levels in BAL. Inflammasome activation has been observed in asthma,  
224 COPD and during pulmonary inflammation (10, 18, 35), suggesting that continuous exposure  
225 to even low level of PM may increase the susceptibility to these conditions.

226

227 Mitochondrial dysfunction is associated with various pulmonary diseases. COPD patients  
228 have mitochondrial fragmentation through an increase in Drp-1. In-vitro prolonged cigarette  
229 smoke exposure increased mitochondrial fission (6, 15). Damaged mitochondria increase  
230 oxidative stress which can consume the antioxidative MnSOD. Our study shows that 5µg of  
231 PM reduced MnSOD, suggesting reduced antioxidant capacity. Mitochondrial DNA copy  
232 number was unaffected, suggesting mitochondrial biogenesis was not changed by PM in this  
233 model. The reduction in LC3A/B-II protein in the PM<sub>10</sub> (5µg) group indicates that there was  
234 reduced capacity of autophagy which can increase apoptosis. This was confirmed with the  
235 increased protein levels of caspase-3 in our study.

236

237 Activated AMPK was reduced by PM<sub>10</sub> exposure. AMPK is a stress sensor which is crucial  
238 for maintaining intracellular homeostasis during oxidative stress and importantly, AMPK  
239 deficient mice have increased progression of COPD (19). AMPK typically suppresses Akt, but  
240 we found no change in Akt levels, suggesting dysregulation of AMPK/Akt signalling. In our  
241 study we found PM reduced AMPK activation with reduced autophagy, however *in-vitro*  
242 studies have found PM increases AMPK and autophagy. We postulate that such differences  
243 are related to the 10-20 times higher dose of PM used *in-vitro* which induce cell death, in-  
244 addition to activating AMPK and autophagy (20, 30, 32). The *in-vitro* response is consistent  
245 with the notion that autophagy generally acts to keep cells alive, and is upregulated in  
246 response to stress (for a review see (12)). Differences may also occur due to PM processing  
247 for *in-vitro* studies in which steam sterilisation to remove LPS may also remove other PM  
248 components. Interestingly LPS inhibits AMPK activation (33).

249

250 Inflammasome activation by asbestos or crystalline silica is strongly associated with the  
251 development of lung fibrosis (24). However, in this study, exposure to a low level of PM did  
252 not induce fibrosis. The negative findings are most likely attributable to the low PM dose  
253 and the short duration of this study.

254

255 This study has several limitations. PM<sub>10</sub> composition varies by generation source, and as such  
256 future studies need to compare different types of PM. We did not assess endotoxin levels in  
257 PM which are likely to influence the proinflammatory capacity of the PM. The lung tissues  
258 were not fixed to assess any histological changes or mitochondrial morphology, which need  
259 to be addressed in future studies.

260

261 In conclusion, this study shows that the exposure to low levels of roadside PM has  
262 detrimental effects on lung health. As such people living alongside major traffic corridors  
263 need to be aware of the potential adverse effects on their respiratory health. Our results also  
264 have implications for government agencies responsible for urban planning.

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267

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400

401 **Figure Legends**

402 Figure 1. Leukocytes counts bronchoalveolar lavage (A-C). Lung protein levels of NLRP3  
403 (D), IL-1 $\beta$  (E), IL-6 (F), fibronectin (G), TGF- $\beta$ 1 (H) and collagen-III (I) in Sham, particulate  
404 matter (PM)<sub>10</sub> (1 $\mu$ g) and PM<sub>10</sub> (5 $\mu$ g) groups. Results are expressed as mean  $\pm$  SEM, n = 8-10  
405 (one-way ANOVA followed by Bonferroni post hoc test). \*p<0.05, \*\*p<0.01, compared with  
406 SHAM; #P<0.05, ##p<0.01, compared with PM<sub>10</sub> (1 $\mu$ g).

407

408 Figure 2. Lung mitochondrial protein levels of Drp-1(A), Opa-1(B), MnSOD (C),  
409 Mitochondrial DNA copy number (D) , Lung protein levels of LC3A/B-II (E), LC3A/B-II to  
410 I ratio (F), Caspase-3 (G), p-Akt (H), Akt (I), p-Akt/Akt ratio (J), p-AMPK (K), AMPK (L)  
411 and p-AMPK to AMPK ratio (M) in Sham, PM<sub>10</sub> (1 $\mu$ g) and PM<sub>10</sub> (5 $\mu$ g) groups. Results are  
412 expressed as mean  $\pm$  SEM, n=5-8. (one-way ANOVA with Bonferroni tests). \*P<0.05  
413 compared to SHAM. \*\*P<0.01 compared to SHAM, #P<0.05, compared to PM<sub>10</sub> (1 $\mu$ g). Akt,  
414 protein kinase 3; AMPK, 5' adenosine monophosphate-activated protein kinase; Drp-1,  
415 dynamin related protein 1; LC3A/B, Light chain 3 microtubule-associated protein A/B;  
416 MnSOD, manganese superoxide dismutase; Opa-1, optic atrophy 1; PM, particulate matter.

417

418 **Chemical components of PM**

419 **Table 1. Chemical characteristic of PM<sub>10</sub>**

	$\mu\text{g}/\text{m}^3$		$\mu\text{g}/\text{m}^3$
<b>PM<sub>10</sub> mass</b>	22.61±1.26	<b>Ammonium</b>	0.16±0.03
<b>Organic Carbon (OC)</b>	4.19±0.20	<b>Barium</b>	0.08±0.003
<b>Sulfate</b>	4.00±0.34	<b>Zinc</b>	0.08±0.01
<b>Elemental Carbon (EC)</b>	3.26±0.17	<b>Copper</b>	0.04±0.03
<b>Chloride</b>	2.52±0.41	<b>Titanium</b>	0.02±0.004
<b>Nitrate</b>	1.92±0.13	<b>Manganese</b>	0.02±0.002
<b>Iron</b>	0.85±0.04	<b>Lead</b>	0.02±0.002
<b>Calcium</b>	0.43±0.03	<b>Vanadium</b>	0.01±0.002
<b>Silicon</b>	0.35±0.02	<b>Chromium</b>	0.01±0.001
<b>Aluminium</b>	0.17±0.02	<b>Nickel</b>	0.01±0.001

420 Results are expressed as mean ± SEM. Data showing different components inside the traffic  
 421 related air pollutants (n=10).

422

423

424 **Table 2. The effects of PM<sub>10</sub> exposure on anthropometry markers**

	<b>SHAM</b>	<b>PM<sub>10</sub> (1<math>\mu\text{g}</math>)</b>	<b>PM<sub>10</sub> (5<math>\mu\text{g}</math>)</b>
Body Weight	22.39±0.31	22.26±0.36	22.13±0.37
Liver (g)	1.26±0.045	1.21±0.037	1.15±0.037
Liver %	5.62±0.0015	5.47±0.0011	5.21±0.0015
Muscle (g)	0.073±0.0024	0.075±0.0023	0.072±0.0032
Muscle %	0.33±0.00013	0.34±0.00011	0.33±0.00019
Retroperitoneal fat weight (g)	0.077±0.0037	0.109±0.014	0.12±0.012*
Retroperitoneal fat %	0.34±0.00016	0.50±0.00064	0.55±0.00052*
Glucose (mM)	9.13±1.14	9.6±1.07	9.27±1.1

425 Results are expressed as mean  $\pm$  SEM, n = 10. Data were analysed by one-way ANOVA  
426 followed by Bonferroni post hoc test. \*p<0.05, compared with SHAM. PM<sub>10</sub>: particulate  
427 matter.

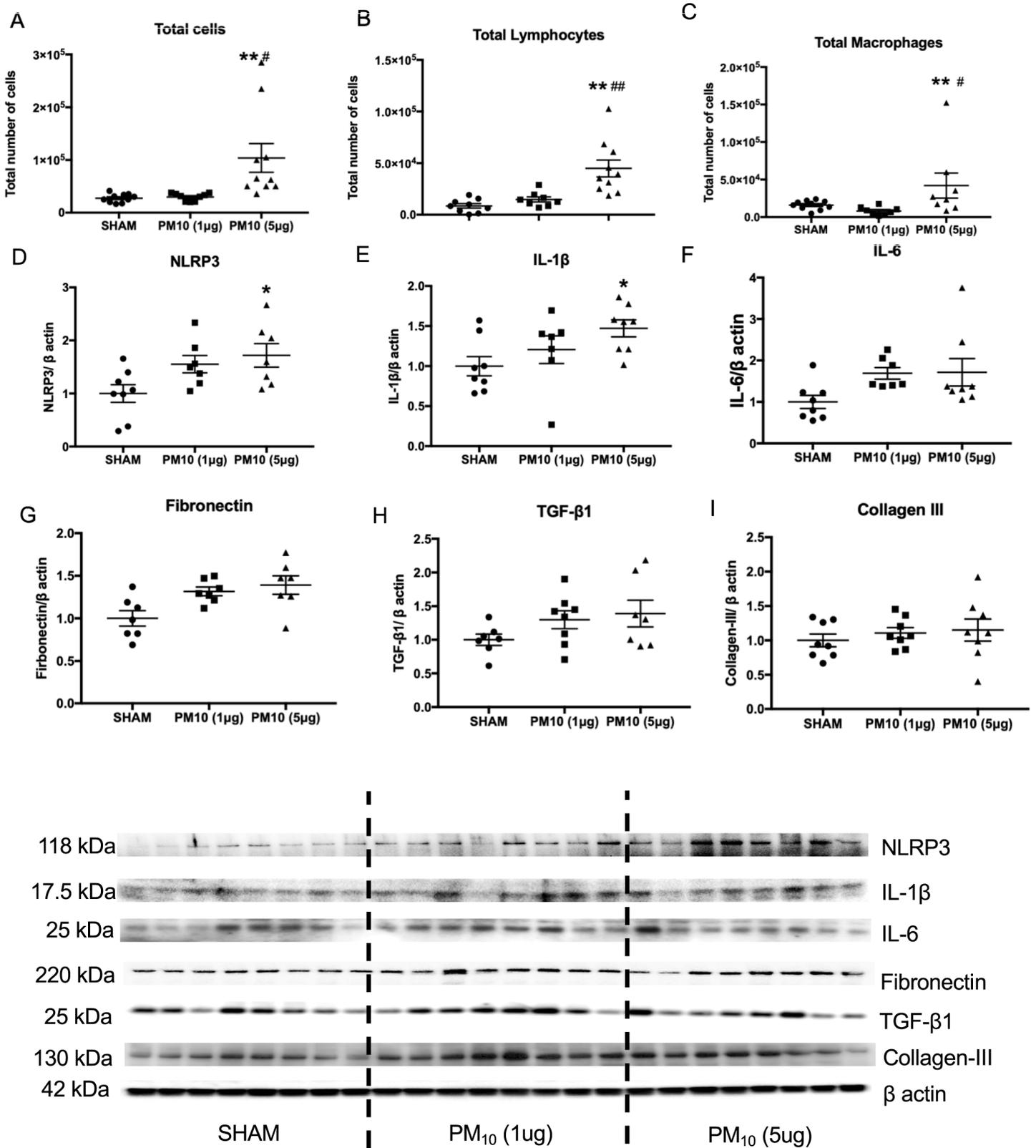


Figure 1.

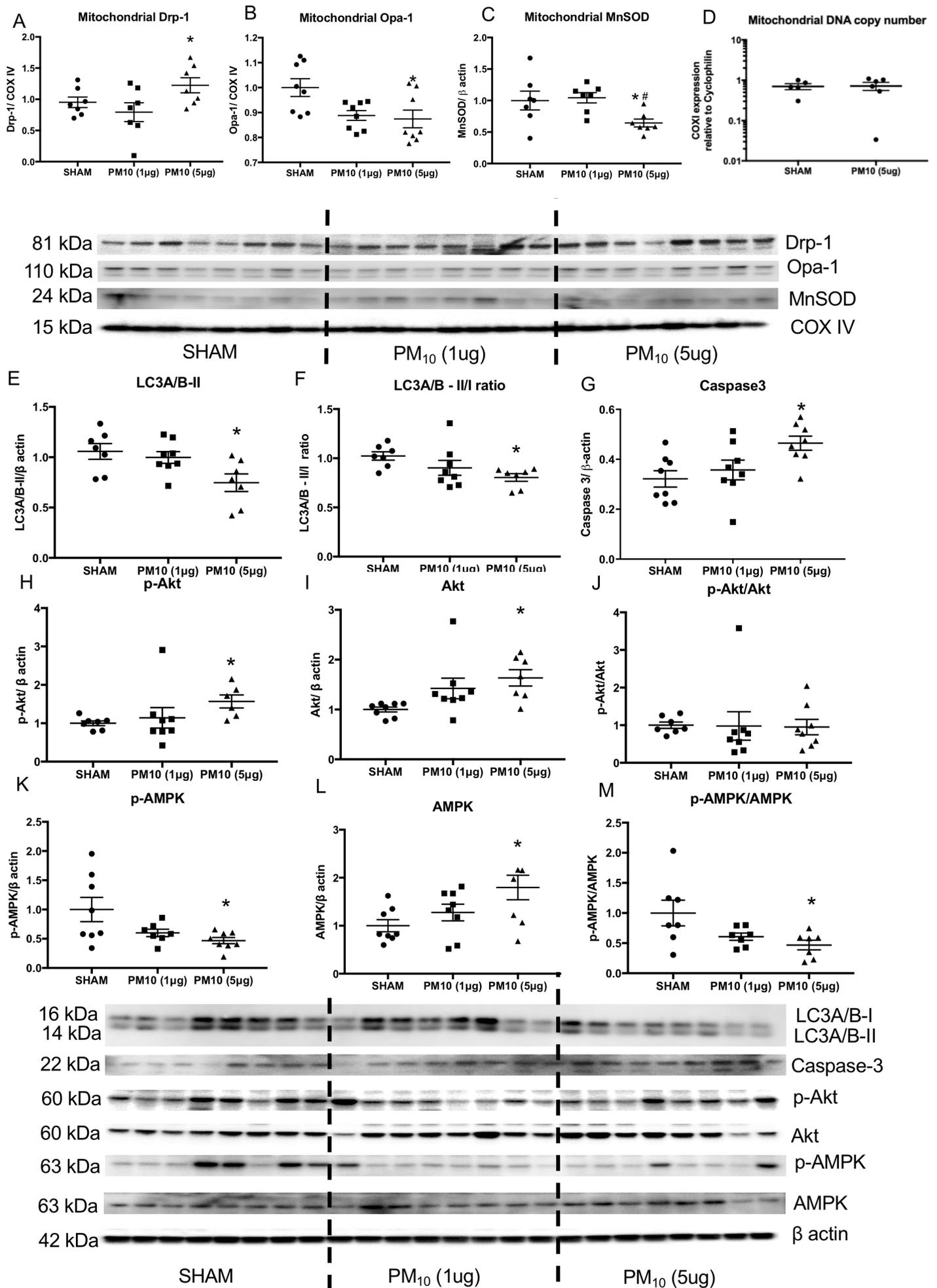


Figure 2.